Sign up for this Free Seminar now!!

FSA Seminar

Venue: 3HR Plc, New Broad Street House, 35 New Broad Street, London, EC2M 1NH
Nearest Tube: Liverpool Street Tube Station
Date: 22nd April 2010
Registration: 3.15pm
Time: 3.30pm ~ 4.30pm – followed by refreshments
Speakers: Gregory Pritchard, FCA., Senior Partner (CPA Audit)
David Stace, MCIPD, HR Consultant (3HR)

The FSA has recently moved towards a more intrusive supervising regime. This seminar will discuss what this means for regulated firms.

Subjects to be covered:

- applications for authorisation
- HR issues
- appointing representatives
- applications to perform controlled functions
  applications to extend scope of permissions
  applications for change of control
- Dealing with the FSA in today's regulatory climate

If you would like to attend this Seminar, please complete the enclosed Seminar Registration Form and ***respond to us by 14th April 2010*** by fax (020 7194 8141) or email (mari.yoshinaga@3hrplc.co.uk). Space is limited so please apply early to avoid disappointment.

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